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# Astaxanthin prevents TGFβ1-induced pro-fibrogenic gene expression by inhibiting Smad3 activation in hepatic stellate cells



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#### ABSTRACT

*Background:* Non-alcoholic steatohepatitis (NASH) is a subset of non-alcoholic fatty liver disease, the most common chronic liver disease in the U.S. Fibrosis, a common feature of NASH, results from the dysregulation of fibrogenesis in hepatic stellate cells (HSCs). In this study, we investigated whether astaxanthin (ASTX), a xanthophyll carotenoid, can inhibit fibrogenic effects of transforming growth factor  $\beta$ 1 (TGF $\beta$ 1), a key fibrogenic cytokine, in HSCs.

Methods: Reactive oxygen species (ROS) accumulation was measured in LX-2, an immortalized human HSC cell line. Quantitative realtime PCR, Western blot, immunocytochemical analysis, and in-cell Western blot were performed to determine mRNA and protein of fibrogenic genes, and the activation of Smad3 in TGF $\beta$ 1-activated LX-2 cells and primary mouse HSCs.

Results: In LX-2 cells, ROS accumulation induced by tert-butyl hydrogen peroxide and TGF $\beta$ 1 was abolished by ASTX. ASTX significantly decreased TGF $\beta$ 1-induced  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA) and procollagen type 1, alpha 1 (Col1A1) mRNA as well as  $\alpha$ -SMA protein levels. Knockdown of Smad3 showed the significant role of Smad3 in the expression of  $\alpha$ -SMA and Col1A1, but not TGF $\beta$ 1, in LX-2 cells. ASTX attenuated TGF $\beta$ 1-induced Smad3 phosphorylation and nuclear translocation with a concomitant inhibition of Smad3, Smad7, TGF $\beta$ 1 receptor I (T $\beta$ RI), and T $\beta$ RII expression. The inhibitory effect of ASTX on HSC activation was confirmed in primary mouse HSCs as evidenced by decreased mRNA and protein levels of  $\alpha$ -SMA during activation.

Conclusion: Taken together, ASTX exerted anti-fibrogenic effects by blocking TGFβ1-signaling, consequently inhibiting the activation of Smad3 pathway in HSCs.

*General significance:* This study suggests that ASTX may be used as a preventive/therapeutic agent to prevent hepatic fibrosis.

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#### 1. Introduction

Incidence of non-alcoholic fatty liver disease (NAFLD), the most common cause of chronic liver diseases in the developed countries, has been rapidly increasing in parallel with an obesity epidemic [1–3]. Non-alcoholic steatohepatitis (NASH) is an advanced form of NAFLD, characterized by macrovesicular steatosis, ballooning hepatocytes, apoptotic cells, the presence of Mallory hyaline and/or megamitochondria in the hepatocyte cytoplasm, infiltration of inflammatory cells, and varying stages of fibrosis [4–8]. Fibrosis can slowly advance to cirrhosis,

Abbreviations: \$\alpha\$-SMA, \$\alpha\$-smooth muscle actin; ASTX, astaxanthin; BCA, bicinchoninic acid; CEBP\beta\$, CCAAT/enhancer binding protein \$\beta\$; Col1A1, procollagen type I, alpha 1; HSC, hepatic stellate cell; KLF, Kr\u00fcppel-like factors; NAFLD, non-alcoholic fatty liver disease; NASH, non-alcoholic steatohepatitis; NF\u00br8, nuclear factor kappa B; NOX4, NADPH oxidase 4; qRT-PCR, quantitative realtime PCR; ROS, reactive oxygen species; siRNA, small interference RNA; Sp1, specificity protein 1; tBHP, tert-butyl hydrogen peroxide; TBRI, TGF\u00br8 type I receptor; T\u00br8RII, TGF\u00br8 type II receptor; TGF\u00br31, transforming growth factor \$\u00br31\$

which is an irreversible condition leading to liver failure and increased mortality [9,10].

Activation of hepatic stellate cells (HSCs) is primarily responsible for the production of extracellular matrix and fibrosis development in the liver [11]. During liver injury, quiescent HSCs are activated by fibrogenic cytokines or stimulants, such as transforming growth factor  $\beta$ 1 (TGF $\beta$ 1), platelet derived growth factor and tumor necrosis factor  $\alpha$ , as well as lipid peroxides generated by hepatocytes, HSCs, Kupffer cells and platelets [12,13]. In particular, TGF\u03b31 is the most potent pro-fibrogenic cytokine and its levels are elevated in hepatic fibrosis of animals and humans [14]. TGFβ1 signal is transduced by sequential activation of its two serine/threonine kinase receptors, i.e., TGF\beta type II receptor (T\beta RII) and TGFB type I receptor (TBRI), which in turn phosphorylates Smad2 or Smad3 for nuclear translocation [15]. It is known that Smad3 regulates transcription of fibrogenic genes, such as  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA) and procollagen type 1, alpha 1 (Col1A1), which is important for the production of extracellular matrix to repair damaged tissues [16]. Therefore, inhibition of TGFβ1 signaling in HSCs is a major therapeutic target for preventing the initiation and progression of hepatic fibrosis.

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Astaxanthin (ASTX) is a xanthophyll carotenoid, which gives reddish appearance to aquatic animals such as salmon and shrimp [17]. Due to its unique structure containing hydroxyl and keto moieties on both ends of its polyene chain, ASTX has a strong antioxidant activity [18]. Studies have demonstrated that ASTX exerts protective effects against oxidative stress [19,20], inflammation [21,22], type 2 diabetes [23], and cardiovascular diseases [24]. Our previous study demonstrated that ASTX lowered plasma lipid levels and enhanced hepatic antioxidant capacity in apolipoprotein E knockout mice [25]. However, whether ASTX can prevent the development of liver fibrosis has never been addressed. In this study, we aimed to investigate the role of ASTX in the regulation of fibrogenic genes in HSCs using both a human HSC cell line and primary mouse HSCs. Our study presents the first evidence that ASTX inhibits TGF $\beta$ 1-induced Smad3 activation, consequently repressing the expression of fibrogenic genes.

#### 2. Materials and methods

#### 2.1. Isolation of primary mouse HSCs

Primary HSCs were isolated from C57BL/6J mouse liver using the collagenase/pronase digestion method [26]. In brief, mice were anesthetized with ketamine/xylazine (120/6 mpk) (Butler Schein, Dublin, OH). Abdominal and chest cavities were opened to expose the portal vein and to locate the heart and vena cava, respectively. Subsequently, a 20G × 1" I.V. catheter (Terumo, Somerset, NJ) was inserted into the vena cava through the right atrium and the portal vein was cut. A mouse liver was perfused at 5 mL/min with 25 mL SC-1 solution consisting of the following (per L); 8000 mg NaCl, 400 mg KCl, 88.17 mg NaH<sub>2</sub>PO<sub>4</sub> 2H<sub>2</sub>O, 120.45 mg Na<sub>2</sub>HPO<sub>4</sub> 12H<sub>2</sub>O, 2380 mg 4-(2hydroxyethyl)-1-piperazineethanesulfonic acid (HEPES), 350 mg NaHCO<sub>3</sub>, 190 mg ethylene glycol tetraacetic acid, and 900 mg glucose, pH 7.2–7.3 [27]. The liver was, then, digested with 300 μg/mL pronase E (Roche, Mannheim, Germany) in SC-2 solution consisting of 8000 mg NaCl, 400 mg KCl, 88.17 mg NaH<sub>2</sub>PO<sub>4</sub> 2H<sub>2</sub>O, 120.45 mg Na<sub>2</sub>HPO<sub>4</sub> 12H<sub>2</sub>O, 2380 mg HEPES, 350 mg NaHCO<sub>3</sub>, and 560 mg CaCl<sub>2</sub> 2H<sub>2</sub>O, per L, pH 7.2-7.3 [27], followed by 600 μg/mL collagenase D (Roche, Mannheim, Germany) in SC-2 solution at 5 mL/min for 5 min each. The digested liver was harvested and filtered through a 100 µm cell strainer (Fisher Scientific, Pittsburgh, PA). The hepatic cells were then centrifuged at  $60 \times g$  for 1 min to separate non-parenchymal cells from hepatocytes. The supernatant containing non-parenchymal cells was separated based on density using a top layer of Gey's Balanced Salt Solution (GBSS) [28], a middle layer of 10% Nycodenz (Axis-Shield, Scotland) and a bottom layer of 14.5% Nycodenz diluted in GBSS. The tube was centrifuged at 2100 × g or 20 min and the primary HSCs were collected from the interface between the GBSS and 10% Nycodenz.

#### 2.2. HSC culture and treatment

LX-2 cells were kindly provided by Dr. Scott Friedman at the Icahn School of Medicine at Mount Sinai (New York, New York). Cells were maintained in low-glucose DMEM containing 2% FBS, 4 mM L-glutamine, 100 U/mL penicillin and 100 µg/mL streptomycin in a 37 °C humidified cell culture chamber providing 5% CO<sub>2</sub>. LX-2 cells were incubated with varying concentrations of ASTX for 12 or 24 h, and subsequently activated by 2 ng/mL of TGF $\beta$ 1 (Peprotech, Rocky Hill, NJ) for 1 to 24 h. Primary mouse HSCs were plated on untreated petri dishes (BD Falcon, Franklin Lakes, NJ) and maintained in low-glucose DMEM supplemented with 10% FBS, 4 mM L-glutamine, 100 U/mL penicillin and 100 µg/mL streptomycin in a 37 °C humidified cell culture chamber under 5% CO<sub>2</sub>. ASTX (25 µM) was added at day 2 or day 4 after plating until day 6 with daily media change. All cell culture supplies were purchased from HyClone (Thermo Scientific, Logan, UT).

ASTX was kindly provided by Fuji Chemical Industry Co., Ltd. (Toyama, Japan). ASTX stock solution (10 mM) was prepared in DMSO

and stored at  $-80\,^{\circ}\text{C}$  until use. Before cell treatments, ASTX stock was incubated in 70 °C for 10 min, after which the ASTX stock was dissolved in FBS and then diluted in cell culture medium to obtained desired concentrations. The final FBS concentration in ASTX-containing medium was 2% and therefore the same amount FBS and DMSO was added to controls. All ASTX-containing solutions were kept in the dark to prevent any light-induced degradation.

#### 2.3. Cytotoxicity test

LX-2 cells were incubated with 0–200  $\mu$ M ASTX for 24 h and its cytotoxicity was measured using a Cell Counting Kit-8 (Dojindo Inc., Rockville, MD) as previously described [29]. Positive control (0.5 mM SDS) was run in parallel.

#### 2.4. Reactive oxygen species (ROS) measurement

Cellular ROS levels were measured in LX-2 cells as previously described [30]. Briefly, LX-2 cells were plated in a black 24-well plate (Wallac Oy, Turku, Finland). When cells reached ~90% confluency, they were pre-incubated with 5, 10 or 25  $\mu$ M ASTX for 24 h and subsequently stimulated with 2 ng/mL TGF $\beta$ 1 or 10  $\mu$ M tert-butyl hydrogen peroxide (tBHP, Sigma, St. Louis, MO) for additional 24 h. cells were then incubated with 5  $\mu$ M dichlorofluorescein (Sigma, St. Louis, MO) for 30 min and fluorescence was read at an excitation wavelength of 485 nm and an emission wavelength of 530 nm. The data were expressed as fluorescent intensity per  $\mu$ g of cell protein.

#### 2.5. Quantitative realtime PCR (qRT-PCR)

Total RNA extraction, cDNA synthesis, and qRT-PCR were conducted using a Bio-Rad CFX96 Real-Time system (Bio-Rad, Hercules, CA) as previously described [31,32]. Gene sequences were obtained from the GenBank database and primers were designed using Beacon Designer (Premier Biosoft, Palo Alto, CA). Primer sequences are available in the online supplemental table.

#### 2.6. Western blot analysis

Whole cell lysates were prepared to conduct Western blot as we previously described [32] using antibodies against  $\alpha$ -SMA (Sigma, St. Louis, MO), Smad3 (Millipore, Billerica, MA), and phospho-Smad3 (Cell Signaling, Danvers, MA).  $\beta$ -Tubulin (Santa Cruz Biotechnology, Santa Cruz, CA) or  $\beta$ -actin (Sigma, St. Louis, MO) was used as a loading control. For Western blot of nuclear and cytoplasmic cell fractions, the fractions were prepared using a nuclear extraction kit (Cayman, Ann Arbor, MI) as we previously described [33]. Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) (Santa Cruz Biotechnology, Santa Cruz, CA) and lamin B (Santa Cruz Biotechnology) were used as a loading control for cytoplasmic and nuclear fractions, respectively. Blots were developed using Westpico horseradish peroxidase chemiluminescence (Pierce, Rockford, IL) and images were analyzed using a Chemidoc XRS + system (Bio-Rad) and Image Lab software (Bio-Rad).

#### 2.7. Immunocytochemistry

LX-2 cells and primary HSCs were plated on a Millicell EZ slide (Millipore, Billerica, MA). After treatment with ASTX, cells were fixed with 4% formaldehyde for 10 min and subsequently blocked with 1% BSA in 1X TBS containing 0.1% Triton X-100 (TBS-T) for 30 min at room temperature. Fixed cells were then incubated with  $\alpha\text{-SMA}$  antibody overnight at 4 °C, after which they were incubated with anti-mouse DyLight 488 conjugated secondary antibody (Abcam, Cambridge, MA) in the dark for 1 h at room temperature. Cell nuclei were visualized by DAPI staining (100 ng/mL in PBS) for 10 min. After washing the cells with TBS-T, Prolong Gold anti-fade reagent

(Invitrogen, Grand Island, NY) was added onto the cells and images were taken with an AxioCam MRc camera (Carl Zeiss Microscopy, Jena, Germany).

#### 2.8. In-cell Western

Primary HSCs were incubated on an uncoated  $\mu\text{-Plate}$  (Ibidi, Verona, WI). After 6 days of activation with or without ASTX, HSCs were fixed in 4% formaldehyde for 20 min at room temperature and washed with 1% TBS-T for 5 times for 5 min each. The cells were blocked with Odyssey® Blocking Buffer for 30 min, then incubated with  $\alpha\text{-SMA}$  primary antibody for 2 h at room temperature. Subsequently, the cells were co-incubated with IRDye 800CW secondary antibody and CellTag 700 stain, a fluorescent stain for cell number normalization, in the dark for 1 h at room temperature. Pictures were taken using an Odyssey CLx Imager (Li-COR, Lincoln, NE) and signals were quantified by a Li-COR Image Studio software with normalization using a signal of CellTag 700 stain. All reagents and imaging tools for In-cell Western were purchased from Li-COR.

#### 2.9. Small interference RNA (siRNA) transfection

LX-2 cells were transfected with either Silencer® Negative Control scrambled siRNA (Ambion, Invitrogen, Grand Island, NY) or siGENOME SMARTpool SMAD3 siRNA (siGenome, Thermo Scientific, Logan, UT) as described previously [34]. Twenty four hours after the transfection, cells were pretreated with 25  $\mu$ M ASTX for 12 h, after which they were stimulated by 2 ng/mL TGF $\beta$ 1 for additional 12 h for subsequent qRT-PCR analysis.

#### 2.10. Statistical analysis

One-way analysis of variance (ANOVA) and the Newman Keul pairwise post-hoc test were used to detect significant differences between groups. Statistical analyses were conducted by using GraphPad Prism6 (GraphPad Software, La Jolla, CA). P values less than 0.05 were considered significant and all values were presented as mean  $\pm$  SEM.

#### 3. Results

### 3.1. ASTX prevented TGF $\beta$ 1 and tBHP-induced ROS accumulation in LX-2 cells

We firstly tested ASTX cytotoxicity in LX-2 cells. ASTX showed minimal cytotoxicity in LX-2 cells with more than 90% cell viability up to 25  $\mu$ M (Fig. 1A). Excessive ROS accumulation has been shown to activate HSCs, which is a key event in the development of hepatic fibrosis [35]. As ASTX is a potent antioxidant [36], we determined if

ASTX decreases ROS accumulation induced by TGF $\beta1$  or tBHP in LX-2 cells. Both TGF $\beta1$  and tBHP significantly increased cellular ROS levels, which were attenuated by ASTX (Fig. 1B & C). At 25  $\mu$ M, ASTX completely abolished the increase in cellular ROS levels induced by TGF $\beta1$  and tBHP.

### 3.2. ASTX attenuated the TGF $\beta$ 1-induced expression of fibrogenic genes in LX-2 cells

TGF $\beta1$  is the most potent pro-fibrogenic cytokine in HSCs [14] and therefore we determined the effect of ASTX on TGF $\beta1$ -induced fibrogenic response in LX-2 cells. TGF $\beta1$  significantly increased mRNA abundance of  $\alpha$ -SMA and Col1A1 as early as 3 h and the mRNA levels reached the highest at 12 h (Fig. 2A). ASTX significantly inhibited the induction of  $\alpha$ -SMA at 3 and 12 h and Col1A1 at 12 h of TGF $\beta1$  stimulation. Induction of the fibrogenic genes by TGF $\beta1$  was significantly inhibited by 10 and 25  $\mu$ M of ASTX (Fig. 2B). Based on this finding, ASTX treatment for 12 h at 25  $\mu$ M was chosen for the rest of the study.

ASTX did not alter the basal expression levels of  $\alpha$ -SMA and Col1A1 mRNA in LX-2 cells, but significantly attenuated TGF $\beta$ 1-induced expression of these genes (Fig. 3A). ASTX also significantly decreased the protein levels of  $\alpha$ -SMA that were induced by TGF $\beta$ 1 (Fig. 3B and C).

## 3.3. Smad3 played a major role in the induction of fibrogenic genes by TGF\(\textit{B}\)1 in LX-2 cells

As Smad3 is known to mediate TGF $\beta1$  signaling for fibrogenic responses [37], we determined if Smad3 is involved in the repressive effect of ASTX on the induction of fibrogenic genes by TGF $\beta1$ . In the LX-2 cells transfected with scrambled control, the induction of fibrogenic genes by TGF $\beta1$  was attenuated by ASTX (Fig. 4). When Smad3 was knocked down by ~80%, the basal mRNA levels of  $\alpha$ -SMA and Col1A1, but not TGF $\beta1$ , were significantly decreased compared with scrambled control. TGF $\beta1$  did not induce the expression of  $\alpha$ -SMA and Col1A1 but its own mRNA levels were not altered when Smad3 was deficient.

## 3.4. ASTX attenuated phosphorylation and nuclear translocation of Smad3 in LX-2 cells

As the anti-fibrogenic effect of ASTX is likely mediated via Smad3, whether ASTX can inhibit Smad3 activation, i.e., phosphorylation and nuclear translocation, was evaluated in LX-2 cells. TGFβ1 stimulation markedly increased the levels of phosphorylated Smad3 at 30 min and ASTX treatment significantly inhibited the TGFβ1-induced phosphorylation (Fig. 5A). Smad3 phosphorylation is an important determinant of its nuclear translocation [38]. Consistent with the reduction in phosphorylated Smad3 by ASTX, the nuclear translocation of Smad3 was also reduced by ASTX, whereas TGFβ1 treatment increased nuclear Smad3

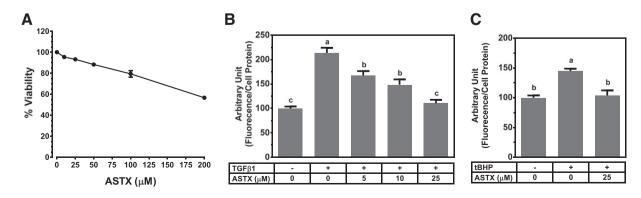


Fig. 1. ASTX repressed ROS production in LX-2 cells. (A) LX-2 cells were treated with 0-200  $\mu$ M ASTX for 24 h for cytotoxicity measurement. Data are shown as mean  $\pm$  SEM. n = 5. LX-2 cells were pretreated with 0, 5, 10 or 25  $\mu$ M ASTX for 24 h, and subsequently they were exposed to 2 ng/mL TGF $\beta$ 1 (B) or 10  $\mu$ M tBHP (C) in the presence of ASTX for 24 h. Data are shown as mean  $\pm$  SEM. n = 6-9. Bars sharing a common letter are not significantly different from each other (P < 0.05).

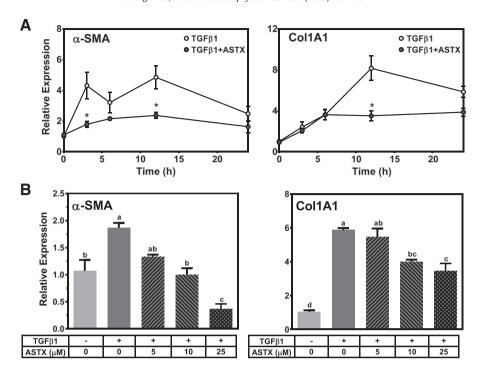


Fig. 2. ASTX repressed fibrogenic gene expression in a time- and dose-dependent manner in LX-2 cells. (A) LX-2 cells were pretreated with or without  $25 \,\mu\text{M}$  ASTX for  $24 \,\text{h}$ , after which they were exposed to  $2 \,\text{ng/mL}$  TGF $\beta$ 1 for  $0-24 \,\text{h}$ . qRT-PCR was conducted to measure fibrogenic gene expression. Data are shown as mean  $\pm$  SEM. n=6. (B) LX-2 cells were pretreated with 0, 5, 10 or  $25 \,\mu\text{M}$  ASTX for  $24 \,\text{h}$ , then exposed to  $2 \,\text{ng/mL}$  TGF $\beta$ 1 for  $24 \,\text{h}$  in the presence of the same ASTX concentration to conduct qRT-PCR. Data are shown as mean  $\pm$  SEM. n=6. Bars sharing a common letter are not significantly different from each other (P < 0.05).

levels (Fig. 5B). The activation of Smad3 requires other players in transducing TGF $\beta$ 1 signaling. Upon TGF $\beta$ 1 binding to T $\beta$ RII, the receptor activates T $\beta$ RI, which in turn phosphorylates Smad2 and Smad3 [15]. The phosphorylation of Smad2 and Smad3 allows them to complex with Smad4 for nuclear translocation. Smad7, transcriptionally induced by Smad3, is known to interact with T $\beta$ RI through its carboxyl-terminal Mad homology 2 domains and therefore competes with Smad3 for binding to T $\beta$ RI [39]. We found that the mRNA levels of Smad2, Smad3, Smad4, and Smad7 were significantly increased by TGF $\beta$ 1 (Fig. 5C). However, the increase was abolished or significantly attenuated by ASTX except Smad4. Furthermore, ASTX almost completely abolished the TGF $\beta$ -induced increases in mRNA levels of both T $\beta$ RI and T $\beta$ RII.

### 3.5. ASTX prevented $\alpha$ -SMA elevation during activation of mouse primary HSCs

To gain insight into the role of ASTX in the early activation of quiescent HSCs, primary HSCs isolated from C57BL/6J mice were cultured on untreated petri dishes for 4 or 6 days for activation [40], with the addition of ASTX at day 2 or day 4, respectively. In the absence of ASTX, the expression of  $\alpha$ -SMA, a prominent marker for the activation of quiescent HSCs, was significantly increased with time. The addition of ASTX for 2 days during the HSC activation significantly reduced  $\alpha$ -SMA mRNA levels (Fig. 6A). At day 4 of incubation, the protein levels of  $\alpha$ -SMA were increased, whereas the addition of ASTX for 2 days markedly decreased the protein levels (Fig. 6B). Compared with control cells cultured without ASTX for 6 days, ASTX treatment at days 0, 2, and 4 decreased  $\alpha$ -SMA protein by 30–40% (Fig. 6C).

#### 4. Discussion

The prevalence of NAFLD has reached 20% of the general population [41] and 30–80% of the obese population in the U.S. [42]. In obesity, increased flux of free fatty acids from hypertrophied adipocytes into the liver leads to oxidative stress and hepatocyte damage [4], which can

stimulate Kupffer cells to secret pro-fibrogenic cytokines such as TGF $\beta$ 1 that can activate HSCs [15]. Under the condition of chronic liver injury, sustained activation of HSCs results in excessive deposition of extracellular matrix, leading to the development of fibrosis [43]. Therefore, identification of anti-fibrogenic agents that can inhibit HSC activation may effectively lower the risk of hepatic fibrosis. In the present study, we demonstrated that ASTX represses the expression of TGF $\beta$ 1-induced fibrogenic genes by inhibiting Smad3 activation in HSCs.

Chronic oxidative stress can lead to the development of hepatic fibrosis by stimulating proliferation and fibrogenic response in HSCs [44]. Free radicals and ROS are highly unstable, and react quickly with adjacent molecules, ultimately damaging nucleotides, proteins and lipids [45]. ASTX has been shown to be a potent ROS scavenger largely due to its unique molecular structure. The polar ends of ASTX allow it to guench electrons from free radicals and other oxidants, whereas a polyene chain can capture electron to terminate chain reaction [46]. In the present study, ASTX decreased cellular ROS levels induced by TGFβ1 or tBHP. The ROS reduction by ASTX may be achieved by scavenging radicals as it has been shown to scavenge peroxyl and hydroxyl radicals [46] and/or by interfering with signaling pathways that can lead to ROS production. It has been shown that TGF\beta1 up-regulates NADPH oxidase 4 (NOX4), a key enzyme for ROS production in HSCs, and inhibition of TBRI activity reduces NOX4 expression and ROS production [47]. Therefore, our observation that ASTX decreased the expression of TBRI and other TGFB signaling intermediates suggests that ASTX may potentially inhibit the upstream signal for NOX4 transcription, preventing ROS generation. In fact, ASTX decreased TGFB1induced NOX4 mRNA levels although it did not reach statistical significance (P = 0.074) (data not shown).

TGF $\beta$ 1 is known to be the most potent pro-fibrogenic cytokine [37]. We observed that ASTX inhibits the TGF $\beta$ 1-induced expression of fibrogenic genes, such as  $\alpha$ -SMA and Col1A1. The expression of  $\alpha$ -SMA is primarily regulated by Smad3 [48], whereas Col1A1 is known to be regulated by Smad3 [49] and specificity protein 1 (Sp1). In the present study, Smad3 knockdown by ~80% significantly decreased the basal expression of  $\alpha$ -SMA and Col1A1, and TGF $\beta$ 1 did

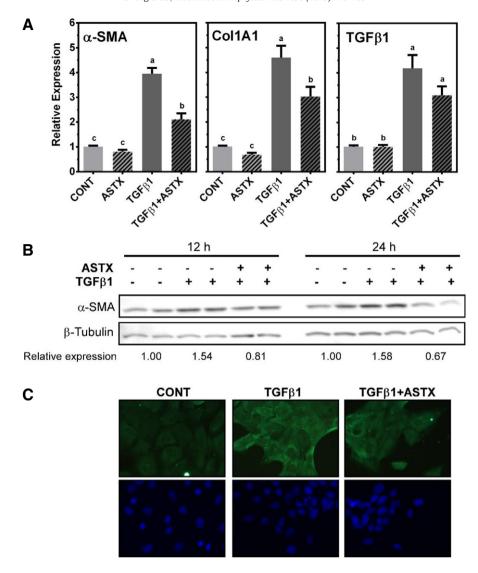


Fig. 3. ASTX repressed TGF $\beta$ 1-induced fibrogenic gene expression in LX-2 cells. (A) LX-2 cells were pre-incubated with or without 25 μM ASTX for 24 h, and then stimulated with 2 ng/mL TGF $\beta$ 1 in the presence or absence of ASTX for additional 24 h for qRT-PCR analysis. Control cells were harvested before TGF $\beta$ 1 treatment. Data are shown as mean  $\pm$  SEM. n=9. Bars with a different letter are significantly different (P<0.05). (B) LX-2 cells were pre-incubated with or without 25 μM ASTX for 24 h, and then stimulated with 2 ng/mL TGF $\beta$ 1 in the presence or absence of ASTX for 12 or 24 h. Western blot analysis was conducted to measure  $\alpha$ -SMA protein levels with using  $\beta$ -tubulin as a loading control. Representative blot image is show from 3 repeats. Densitometry analysis was conducted and averages of relative expression of  $\alpha$ -SMA protein to control (no ASTX, no TGF $\beta$ 1) are shown. (C) LX-2 cells were pre-incubated with or without 25 μM ASTX for 24 h, and subsequently they were stimulated with 2 ng/mLTGF $\beta$ 1 with or without ASTX. Immunostaining of  $\alpha$ -SMA protein is shown in green and DAPI staining is in blue.

not induce both gene expression when Smad3 was deficient. The data suggest that Smad3 plays a critical role in transducing TGF\beta1 signaling for the induction of fibrogenic genes in HSCs. Although ASTX inhibited the TGF $\beta$ 1-induced Col1A1 and  $\alpha$ -SMA expression in the presence of functional Smad3, it had a minimal inhibitory effect on the expression of  $\alpha$ -SMA in Smad3-deficient cells. This observation strengthens the notion that the inhibitory effect of ASTX on  $\alpha$ -SMA and Col1A1 expression is likely mediated via Smad3. Other transcription factors, such as Krüppel-like factors (KLF) and CCAAT/enhancer binding protein  $\beta$ (CEBPβ), have also been shown to be involved in TGFβ1-induced fibrogenic gene expression [50]. However, the failure to induce  $\alpha\text{-SMA}$ and Col1A1 expression by TGF\u03B1 when Smad3 is deficient strongly suggests that Smad3, but not KLF and CEBPB, is the most critical transcription factor for the induction of the fibrogenic genes in response to TGF\beta1 signaling in HSCs. ASTX also inhibited an increase in TGF\beta1 mRNA by itself regardless of Smad3. Transcription of TGF\beta1 has been suggested to be regulated mainly by Sp1 and KLF6 [51,52]. Therefore, ASTX may have a repressive effect on the activity of other TGF<sub>B</sub>1sensitive transcription factors in addition to Smad3.

Smad3 is one of the downstream effectors of TGF\beta1 signaling that induces fibrogenesis in HSCs. Binding of TGFβ1 to TβRII, a cell surface TGF\beta1 receptor, phosphorylates T\betaRI, which subsequently phosphorylates Smad2 and Smad3 for the induction of fibrogenic response [53]. In particular, Smad3 has been shown to be indispensable for TGF\u03b3induced fibrogenic gene expression [54]. Phosphorylation of Smad2 and Smad3 is required to make a complex with Smad4, which translocates to the nucleus for transcriptional induction [55]. In LX-2 cells, we observed that TGF $\beta$ 1 induced the expression of Smad2, 3, and 7, T $\beta$ RI and  $T\beta RII$ , which was attenuated by ASTX. The inhibitory action of ASTX in the expression of these intermediate effectors in TGF\(\beta\)1 signaling may explain how ASTX prevents Smad3 activation, consequently inhibiting its target gene expression. Sp1 has been shown to transcriptionally regulate the expression of fibrogenic genes such as TGF\(\beta\)1, Col1A1, TBRI, and TBRII [56]. The down-regulation of TGFB1, TBRI and TBRII by ASTX in TGFB1-stimulated LX-2 cells, as observed here, may suggest that ASTX may also inhibit Sp1. Studies have shown that Sp1 may cooperate with KLF6 to induce the transcription of TGF\u03B1, T\u03BRI, and TBRII [50,52], and CEBPB can also be activated by TGFB1-triggered

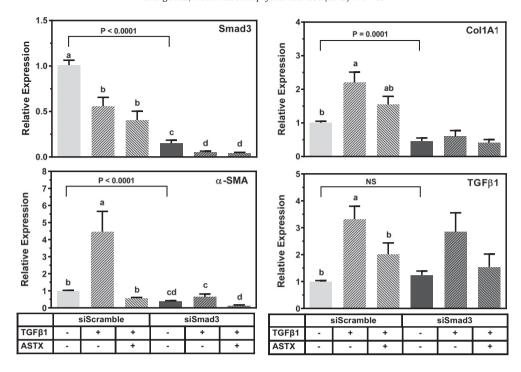
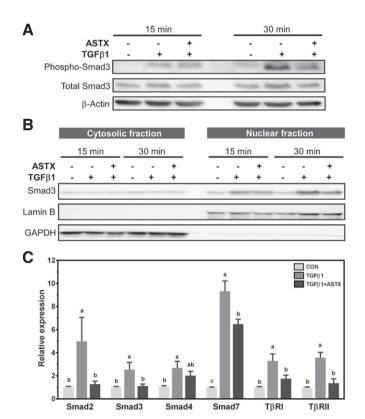


Fig. 4. Smad3 mediated TGF $\beta$ 1-induced fibrogenic gene expression in LX-2 cells. LX-2 cells were transfected with Scramble control or Smad3 siRNA. Subsequently, they were pretreated with or without 25  $\mu$ M ASTX for 12 h, after which they were activated by 2  $\mu$ m/s TGF $\beta$ 1 for 12 h, qRT-PCR was used for data analyses. Data are shown as means  $\pm$  SEM.  $\mu$ 0 sharps sharing a common letter within the same siRNA treatment are not significantly different from each other ( $\mu$ 0.05).



**Fig. 5.** ASTX attenuated TGFβ signaling for the activation of Smad3 in LX-2 cells. LX-2 cells were pre-incubated with or without 25 μM ASTX for 24 h and subsequently for additional 3 h with replenishing ASTX in the media. Subsequently, the cells were stimulated with 2 ng/mL TGFβ1 for 15 or 30 min to conduct Western blot for total and phosphorylated Smad3 (A) or to measure Smad3 protein in the cytoplasm and nucleus (B). Lamin B and GAPDH were used as a marker for nucleus and cytoplasm, respectively. (C) LX-2 cells were pre-incubated with 25 μM ASTX for 24 h, then stimulated with 2 ng/mL TGFβ1 for 12 h for qRT-PCR analysis. Data are shown as mean  $\pm$  SEM. n = 9. Bars sharing a common letter are not significantly different from each other (P < 0.05).

ROS accumulation to induce the transcription of Col1A1. Further investigation is needed to establish the roles of Sp1, KLF6 and CEBP $\beta$  in modulating the anti-fibrogenic effect of ASTX.

Although the present study supports that ASTX decreases fibrogenic gene expression in HSCs by inhibiting Smad3 activation possibly via the inhibition of TGFB receptors, it still remains elusive how ASTX inhibits TGFB signaling. A potential explanation may involve a unique alignment of ASTX in phospholipid bilayers [19]. Although the intracellular distribution of ASTX in different organelles has not yet been fully investigated, it is likely that ASTX is embedded in cellular membranes, including plasma, mitochondrial, and nuclear membranes [57]. A study showed that integration of ASTX into an in vitro assembled membrane maintains the membrane integrity, whereas other carotenoids, such as β-carotene and lycopene, disrupt the membrane structure [58]. Accumulation of ASTX in cell membrane may thus alter membrane fluidity, affecting protein to protein interactions. Both TBRI and TBRII are transmembrane proteins located in the plasma membrane, while TGFB signal transduction requires recruitment of TBRI to TBRII [59]. Therefore, it can be presumed that ASTX may inhibit TGF\beta1 signal transduction by blocking the recruitment of TBRI to TBRII, resulting in decreased Smad3 phosphorylation and subsequent nuclear translocation. Future studies are warranted to test this possibility.

LX-2 cells are a good HSC model that is commonly used to evaluate TGF $\beta$ 1-induced fibrogenic responses [40]. However, as LX-2 cells are somewhat activated HSCs as demonstrated by the high proliferation rate and lack of cytoplasmic lipid droplets [60], they are not well suited for evaluating the early stage activation of quiescent HSCs. Therefore, we additionally used primary mouse HSCs to gain insight into the effect of ASTX on the early stage of HSC activation. Primary quiescent HSCs are activated when cultured on an untreated plastic dish up to 7 days [61]. During the activation, quiescent HSCs are transdifferentiated into highly proliferative, myoblast-like cells that are characterized by the loss of lipid droplets and high  $\alpha$ -SMA expression, an activation marker [62]. We found that when primary HSCs were incubated with ASTX during their activation,  $\alpha$ -SMA mRNA and protein levels were markedly decreased, indicating that ASTX prevents the early stage activation of quiescent HSCs. This is a novel finding and requires further work to

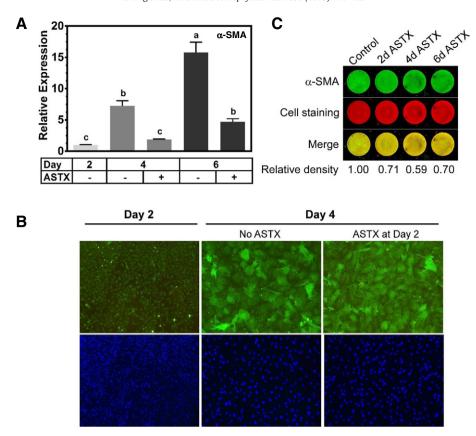


Fig. 6. ASTX inhibited the activation of quiescent mouse primary HSCs. (A) Primary HSCs were isolated from C57BL/6J mice and cultured on an untreated plastic dish for 6 days for activation. ASTX at 25 μM was added to cell medium at day 2 or day 4 for additional 2 days. Data are shown as mean  $\pm$  SEM. n = 3. Bars sharing a common letter are not significantly different (P < 0.05). (B) Primary HSCs were cultured for 4 days for activation with addition of 25 μM ASTX at day 2 for 2 additional days. *Upper panel*, immunostaining of α-SMA. *Lower panel*, DAPI staining. (C) Primary HSCs were co-incubated with ASTX from day 0, 2 or 4 till day 6. In-cell Western was conducted to measure α-SMA protein levels. α-SMA protein is shown in green and total cell staining for cell number normalization is shown in red. The bottom panel shows the merging of α-SMA and total cell stain.

investigate the mechanisms underlying the inhibitory effect of ASTX on the activation of quiescent HSCs.

In conclusion, the present study, using both LX-2 and primary mouse HSCs, provides the first evidence that ASTX possesses anti-fibrogenic properties and prevents the early stage activation of quiescent HSCs. The inhibitory effect of ASTX on the expression of pro-fibrogenic mediators is attributable in part to the inhibition of the TGF $\beta$ 1–Smad3 signaling pathway as evidenced by the attenuation of TGF $\beta$ 1-induced Smad3 phosphorylation and nuclear translocation by ASTX. Further studies are warranted to investigate the mechanisms whereby ASTX inhibits TGF $\beta$  signaling in HSCs. Nonetheless, our findings here suggest that ASTX may potentially be used as a dietary means of lowering the risk of NAFLD and hepatic fibrosis in particular.

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